

LETTER OF UNDERTAKING FOR DUPLICATE UNIT CERTIFICATE

To
UTI MUTUAL FUND
Mumbai

Dear Sir or Madam,

I/We _____

residing at _____

do hereby solemnly state that Certificate/s No. _____

bearing distinctive numbers _____ to _____ for _____ units

issued to me/ us have been lost/ stolen/ destroyed. I/ We have searched for the said certificates but have been unable to find it/them. I/ We undertake to return the original certificates to the UTIMF in case I/ We find the said certificates as and when they are traced at a later date and come into my/ our possession. I/ We have not sold, transferred, pledged or otherwise dealt with the certificates.

I/ we are making these statements solemnly. I/ we therefore request you to issue duplicate Certificates in lieu of the lost/ stolen / destroyed certificate(s) on the strength of the statement made by me/ us. I/ We hereby agree to indemnify and keep indemnified the UTIMF I against all claims action damage costs demands Suits or any other consequence whatsoever which shall be brought or made against UTIMF and its registrars, KFin Technologies Pvt. Ltd. by anyone whatsoever or which the UTIMF may suffer or sustain due to the issuing duplicate certificate(s) in lieu of the lost certificate(s).

Date: _____

(Signature/s of the Applicant/s)

(Signature of the Witness)

N.B.: In case of thumb mark , witness should be by a magistrate.

(Name & Address of the Witness)

Please provide Investment Proof (Any one):

- Original membership advice/Unit Certificate/Original SOA issued at least 2 years prior to the date of submission.
- Copy of acknowledgment of the investment application.
- Copy of Bank Passbook showing debit entry of the investment.
- Any printed communication received from UTI MF with pre printed folio number and name of the investor (other than Abridged Annual Report) and issued at least 2 years prior to the date of submission.
- Copy of Bank Passbook showing credit entry of dividend amount in the scheme for any dividend declared under the scheme (amount and date of dividend should match with our records).
- Proof of any other bank account held for more than 2 years with the name and address matching with records..